

Translational Research Institute CTSA Citation and Public Access Guide

Public guidance for UAMS researchers, scholars, trainees, staff, and collaborators Updated July 2026

Core rule

Cite a Translational Research Institute (TRI) CTSA award only when that specific award directly supported the work being reported. ***If you cite a TRI CTSA award in a journal article or other product, you are responsible for ensuring compliance with the NIH Public Access Policy. This includes the Open Access with no embargo requirement.***

This guide explains when to cite a TRI CTSA award, which award to cite, and what follows when the product is a journal article. It applies to products supported by the TRI Clinical and Translational Science Awards, including UM1TR004909, K12TR004924, and T32TR004918.

A product may include a journal article, poster, presentation, toolkit, software product, educational material, report, press release, or other document or public statement that describes work supported in whole or in part by a TRI CTSA award.

This document provides institutional guidance. It does not replace NIH rules, journal requirements, or UAMS communications guidance.

Quick decision guide

Situation	What to do
A TRI CTSA award directly supported the work reported in the product.	Cite the specific award that supported the work.
TRI provided a service or resource, but the CTSA award did not directly support the work reported.	Acknowledge the service or resource if appropriate, but do not cite the award as a funding source.
A TRI affiliated author contributed to the product, but the reported work was supported outside the scope of the TRI CTSA award.	Acknowledge the service or resource if appropriate, but do not cite the award as a funding source.
A journal article cites a TRI CTSA award.	Use an approved PubMed Central pathway and monitor Public Access compliance.

When to cite a TRI CTSA award

Cite a TRI CTSA award only when that specific award directly supported the work being reported. A TRI award should generally be cited when one or more of the following is true:

- Personnel activity funded by the award contributed to the product.
- The award funded the experiments, analysis, infrastructure, pilot project work, scholar effort, trainee effort, or other activity described in the product.
- The product is clearly connected to the aims and approved scope of that award.

A TRI award should generally not be cited when one or more of the following is true:

- The only TRI connection was limited consultation, routine service, or access to a resource.
- The work or product was supported entirely by another funding source.
- A TRI-affiliated author contributed in a role that was outside the scope of the TRI CTSA award.

- The product mentions TRI involvement for transparency or courtesy, but the award did not directly support or fund the reported work.

Which TRI award should be cited

Cite the award that directly supported the work being reported. Do not list every TRI award connected to an author, center, or department.

If this award directly supported the work	Use this award number	Typical examples
UM1	UM1TR004909	Infrastructure support, in scope pilot project support, core effort, or other work directly supported by the UM1
K12	K12TR004924	Scholar effort, scholar research activity, or related products directly supported by the K12
T32	T32TR004918	Trainee effort, trainee research activity, or related products directly supported by the T32

If more than one NIH award directly supported the work, cite each NIH award that actually supported the reported work.

For TRI-funded pilot projects funded through the UM1, cite UM1TR004909 unless TRI instructs you otherwise.

When a TRI service or resource does not require award citation

Not every TRI contribution requires award citation.

Type of TRI involvement	How to handle it
A TRI affiliated author contributed to the product, but the reported work was supported outside the scope of the TRI CTSA award	Acknowledge the service or resource if appropriate, but do not cite the award as a funding source.
Program, service, consultation, or resource use only but not direct funding.	Acknowledge the program, service, or resource if appropriate, but do not cite the award as a funding source unless the award directly supported the work reported.

Examples of services or resources that may warrant acknowledgment without grant citation include platform access, routine biostatistical support, REDCap support, recruitment support, clinical research unit use, consultation, or other shared resource support when the CTSA award did not directly fund the reported work.

What citing a TRI CTSA award means

Important

If you cite a TRI CTSA award in a journal article, you are representing that the award directly supported the work reported in that article. That means the article should be handled as NIH supported for acknowledgment and Public Access purposes, when the article is within policy scope.

For journal articles accepted for publication on or after July 1, 2025, NIH requires a no embargo approach. Public availability in PubMed Central must occur on the Official Date of Publication.

Authors should address how they will meet the Public Access Policy requirement before submission and confirm the compliance pathway again upon acceptance.

Journal articles and NIH Public Access

If a journal article cites a TRI CTSA award, take the following steps.

1. Confirm that the award citation is correct before submission.
2. Tell coauthors early that the article cites NIH support and will require Public Access compliance.
3. Review the journal or publisher pathway for PubMed Central deposit.
4. At acceptance, confirm who will monitor the deposit and approval steps.
5. Follow the article through the approved pathway until the record is complete.

Compliance may be achieved through an approved publisher or journal deposit pathway or through NIH Manuscript Submission, depending on the journal.

If the journal or publisher will deposit on your behalf, verify that the arrangement supports immediate public availability on the Official Date of Publication. If author approval is required, monitor email and complete the approval steps promptly.

If author submission through NIH Manuscript Submission is required, submit promptly upon acceptance and monitor the submission until the process is complete.

If your article was accepted before July 1, 2025, earlier NIH Public Access timing rules may still apply. Contact Janet Storment at stormentjanets@uams.edu if you need help determining which policy applies.

Sample funding acknowledgment language

Use the sample below only when the named TRI CTSA award directly supported the work reported in the product.

UM1TR004909 "Research reported in this [publication or product] was supported by the National Center for Advancing Translational Sciences of the National Institutes of Health under award number UM1TR004909. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health. This manuscript is the result of funding in whole or in part by the National Institutes of Health (NIH). It is subject to the NIH Public Access Policy. Through acceptance of this federal funding, NIH has been given a right to make this manuscript publicly available in PubMed Central upon the Official Date of Publication, as defined by NIH."

K12TR004924 "Research reported in this [publication or product] was supported by the National Center for Advancing Translational Sciences of the National Institutes of Health under award number K12TR004924. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health. This manuscript is the result of funding in whole or in part by the National Institutes of Health (NIH). It is subject to the NIH Public Access Policy. Through acceptance of this federal funding, NIH has been given a right to make this manuscript publicly available in PubMed Central upon the Official Date of Publication, as defined by NIH."

T32TR004918 "Research reported in this [publication or product] was supported by the National Center for Advancing Translational Sciences of the National Institutes of Health under award number T32TR004918. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health. This manuscript is the result of funding in whole or in part by the National Institutes of Health (NIH). It is subject to the NIH Public Access Policy. Through acceptance of this federal funding, NIH has been given a right to make this manuscript publicly available in PubMed Central upon the Official Date of Publication, as defined by NIH."

For journal articles, the funding acknowledgment should appear in the journal's funding or acknowledgments section, as directed by the journal.

Sample acknowledgment language for service or resource support only

Use language like the example below when a TRI program, service or resource contributed to the work, but a TRI CTSA award did not directly support the work being reported.

Shared resources support was provided by the Translational Research Institute. The Translational Research Institute's CTSA funding did not directly support the work reported in this product.

Author checklist

Timing	Questions to answer
Before submission	Did a TRI CTSA award directly support the work? If yes, which specific award should be cited?
Before acceptance	Have all coauthors been told that the article cites NIH support?
At acceptance	What is the PubMed Central pathway for this journal article? Author assumes responsibility for monitoring compliance process.
Before release	Does the product cite only awards that directly supported the work?
After release	Has TRI been notified, when appropriate, so the product can be tracked?

When to contact TRI

- You are unsure whether a TRI CTSA award directly supported the work.
- You need help deciding whether to cite a TRI CTSA award or acknowledge a service only.
- A journal article cites a TRI CTSA award, and you want help confirming the Public Access pathway.
- A paper accepted before July 1, 2025, may fall under earlier Public Access timing rules.
- A funding acknowledgment was omitted, and you need help assessing next steps.

Contact: stormentjanets@uams.edu -

Key NIH resources

- **Communicating and Acknowledging Federal Funding:** <https://grants.nih.gov/policy-and-compliance/policy-topics/federal-funding>
- **NIH Public Access Policy Overview:** <https://grants.nih.gov/policy-and-compliance/policy-topics/public-access/nih-public-access-policy-overview>
- **NIH Public Access:** <https://grants.nih.gov/policy-and-compliance/policy-topics/public-access>
- **Submitting to PubMed Central:** <https://grants.nih.gov/policy-and-compliance/policy-topics/public-access/submitting-pubmed-central>
- **TRI Cite and Submit page:** <https://tri.uams.edu/about-tri/cite-tri/>
- **UAMS Publishing and Copyright Resource Guide:** <https://libguides.uams.edu/publishing-copyright>